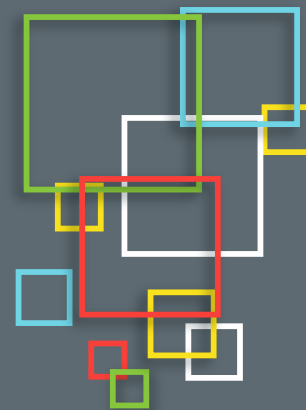




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2023 OPERATIONS DAY

Tuesday, May 30 | Toronto Region Board of Trade

Richard J. Corner
Vice President and
Chief Policy Advisor, Member Regulation
New Self-Regulatory Organization of Canada



Richard began his career as an external auditor, working for ten years within the investment dealer audit practices of both Peat Marwick Thorne and Coopers & Lybrand. In 1995, he then joined the Toronto Stock Exchange to assume his first regulatory policy role as a specialist in the development of financial and operations rules applicable to investment dealers. Richard has been involved in Canadian investment dealer regulatory policy development ever since, taking on subsequent more senior and broader scope policy roles at the Investment Dealers Association of Canada, the Investment Industry Regulatory Organization of Canada and most recently, the New Self-Regulatory Organization of Canada (New SRO).

Richard also represents New SRO on the Board of Directors of the Canadian Capital Markets Association of Canada (who are currently coordinating Canada's transition from T+2 to T+1 trade settlement) and on the International Organization of Securities Commission's Committee on Regulation of Market Intermediaries (IOSCO Committee 3).